2164 55 87

Docket No. GTX-001 CIP2

021-03-0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Marvin T. Ling

Application No.:

09/753,784

Confirmation No.:

1957

Filed:

January 2, 2001

For:

METHOD AND APPARATUS FOR CONDUCTING

ELECTRONIC COMMERCE TRANSACTIONS USING

ELECTRONIC TOKENS

Group Art Unit :

2164

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Hon. Commissioner for Patents

MAR 2 7 2002

c/o P.O. Box 2327 Arlington, VA 22202

Technology Center 2100

TRANSMITTAL LETTER FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application.

This Statement is submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Nicola A. Pisano

Registration No. 34,408 Attorney for Applicant

FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas New York, New York 10020-1104

Tel.: (650) 617-4000

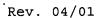
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant hereby makes the following documents of record in the above identified application:

U.S. Patents:

6,018,720	01/25/00	Fujimoto
5,999,919	12/07/99	Jarecki et al.
5,983,207	11/09/99	Turk et al.
5,963,924	10/05/99	Williams et al.
5,943,424	08/24/99	Berger et al.
5,943,423	08/24/99	Muftic
5,930,777	07/27/99	Barber
5,899,980	05/04/99	Wilf et al.
5,878,138	03/02/99	Yacobi
5,872,844	02/16/99	Yacobi

^{*} Applicant reserves the right to challenge the status of any of the cited documents as prior art.

5,850,442	12/15/98	Muftic
5,839,119	11/17/98	Krsul et al.
5,832,089	11/03/98	Kravitz
5,815,657	09/29/98	Williams et al.
5,671,364	09/23/97	Turk
5,655,023	08/05/97	Cordery et al.
5,539,825	07/23/96	Akiyama et al.
5,305,383	04/19/94	Guillou et al.
5,224,162	06/29/93	Okamoto et al.
5,010,485	04/23/91	Bigari
4,977,595	12/11/90	Ohta et al.
4,802,218	01/31/89	Wright et al.

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Other Documents

"A plan for the introduction of internet cash," URL: http://www.jim.com/jamesd/kong/plan.htm, printed October 20, 2000.

"Common markup for Ecash per-fee-links," Ecash.com adapted version of W3C working draft of 25 August 1999, URL: http://www.ecash.com/MicroPayment/micropayment.htm, printed September 28, 2001.

"Common Markup for micropayment per-fee-links," WC3 Final Public Working Draft of 25 August 1999, URL: http://www.w3.org/TR/WD-Micropayment-Markup/, printed October 16, 2001.

"Internet Dollar is the money of the Internet," Internet Dollar LTD., URL: http://www.internetdollar.com, printed October 20, 2000.

"Micropayment Methods," URL: http://www.transaction.net/payment/micro.html, printed October 20, 2000.

"Network payment mechanisms & digital cash," URL: http://inf2.pira.co.uk/top033.htm, last updated July 06, 2000, printed October 20, 2000.

"Small change: Micropayment," Computerworld 2000, URL: http://www2.computerworld.com/home/features.nsf/
All/980223micropaylinks, printed October 23, 2000.

"The MilliCent Protocol for Inexpensive Electronic Commerce," In Published Proc. First USENIX Workshop on Electronic Commerce, July 1995, URL: http://www.millicent.digital.com/works/details/papers/millicent-w3c4/millicent.html, printed 10/25/01.

"The MilliCent Protocols for Electronic Commerce," In Published Proc. First USENIX Workshop on Electronic Commerce, July 1995, URL http://www.millicent.digital.com/works/details/papers/mcentny.htm, printed 10/25/01.

"Transmedia Europe, Inc. and ... announce the acquisition on Silicon Valley Internet....," PR Newswire, July 16, 1998.

"Wave Systems and IBM to Collaborate on Technology for Accessing Digital Content," Bus. Wire, December 18, 1997.

"What is eCoin micropayment system?" eCoin Incorporated, URL: http://www.ecoin.net, printed October 20, 2000.

Bellare, M. et al., "Variety Cash: a Multi-purpose Electronic Payment System," Proc. Third Usenix Workshop on Electronic Commerce, Extended Abstract, June 1998.

Cohen, Warren, "Online malls move closer to home,"

U.S. News & World Report, vol. 123, no. 21, p. 86, December 01, 1997.

Kosiur, Dave, "Buying Data bit by bit with Microcash: new technology lets Internet users pay as they go," *PC Week*, vol. 13, no. 34, August 26, 1996.

Manasse, Mark, "The MilliCent Microcommerce System," DIGITAL Systems Research Center Technical Presentation, July 1997, URL: http://www.millicednt.digital.com/works/details/presentations.html

Medvinsky, Gennady, "NetCash: A design for practical electronic currency on the Internet," In Proceedings of the First ACM Conference on Computer and Communications Security, November 1993.

Michael Peirce and Donal O'Mahony, "Scaleable, Secure Cash Payment for WWW Resources with the PayMe Protocol Set," URL: http://ganges.cs.tcd.ie/mepeirce/Project/Payme/Overview.html, printed October 23, 2000.

Michael Peirce, "Payment mechanisms designed for the Internet," URL: http://ganges.cs.tcd.ie/mepeirce/Project/oninternet.html, printed October 23, 2000.

Neuman, B. et al., "Requirements for Network Payment: The NetCheque™ Perspective," In *Proceedings of IEEE Compcon '95*, San Francisco, U.S.A., March 1995.

Nielsen, J., "The Case for Micropayments," useit.com (Alertbox Jan. 1998), URL: http://www.useit.com/alertbox/980125.html, printed October 23, 2001.

O'Mahony, D. et al., "Electronic Payment Systems," The Artech House Computer Science Library, June 13, 1997.

Rafael Hirschfeld (Ed.), "FC '97 Papers," In Proceedings First Int'l Conference on Financial Cryptography, February 1997, URL: http://www.arraydev.com/commerce/jibc/9704-30.htm.

Rodriguez, Karen, "Toolkit Secures Internet Transactions," InfoWorld, vol. 17, no. 8, p. 10, February 20, 1995.

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicant requests that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

Nicola A. Pisano

Registration No. 34,408 Attorney for Applicant

FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas New York, New York 10020-1104

Tel.: (650) 617-4000

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

ATTY. DOCKET NO.	SERIAL NO.
GTX-001 CIP2	09/753,784
APPLICANT Marvin T. Ling	
FILING DATE	GROUP
January 2, 2001	2164

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,018,720	01/25/00	Fujimoto			
	5,999,919	12/07/99	Jarecki et al.			
	5,983,207	11/09/99	Turk et al.		RECEIVED	
	5,963,924	10/05/99	Williams et al.		- 2003	
	5,943,424	08/24/99	Berger et al.		MAR 2 7 2002	
	5,943,423	08/24/99	Muftic		Technology Center	2100
	5,930,777	07/27/99	Barber			
	5,899,980	05/04/99	Wilf et al.			
	5,878,138	03/02/99	Yacobi			
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,	5,850,442	12/15/98	Muftic			
	5,839,119	11/17/98	Krsul et al.			<u></u>
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FOREIGN PATENT DOCUMENTS

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EXAMINER	DOCUMENT	DATE COUNTRY CLASS		CLASS		SUBCLASS	TRANSLATION	
INITIAL	NUMBER	DATE	COUNTRI	CLASS	SOBCEASS	YES	NO	
						_		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	"A plan for the introduction of internet cash," URL: http://www.jim.com/jamesd/kong/plan.htm , printed October 20, 2000.
	"Common markup for Ecash per-fee-links," Ecash.com adapted version of W3C working draft of 25 August 1999, URL: http://www.ecash.com/MicroPayment/micropayment.htm , printed September 28, 2001.
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FORM PTO-1449

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	Cohen, Warren, "Online malls move closer to home," U.S. News & World Report, vol. 123, no. 21, p. 86, December 01, 1997.
	Kosiur, Dave, "Buying Data bit by bit with Microcash: new technology lets Internet users pay as they go," PC Week, vol. 13, no. 34, August 26, 1996.
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